Koopman Operator and Control

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LARX Group Metting

Koopman Operator & Control

🕽 Koopman Operator

- Introduction to Koopman Operator
- Koopman Invariant Subspace
- Methods of Computing Koopman Operator
- 2 Spectral Properties of Koopman Operator
 - Spectral Decomposition
 - Spectral Computation
 - Koopman Operator for Control
 - Basic Idea
 - Transformation of Nonlinear Control
 - Date-Driven Approaches

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Given an autonomous dynamical system

$$x_{t+1} = f(x_t), \tag{1}$$

where $x_t \in \Omega \subset \mathbb{R}^n$, $f : \Omega \to \Omega$.

• f may be unknown or too complex for analysis.

We are interested in how the state x_t evolves.

Define a function (an observable) $g : \Omega \to \mathbb{R}$ in $\mathcal{H} := L^2(\Omega, \mu)^1$.

- Indirectly measures states, e.g., $g(x_t)$, $g(x_{t+1}) = g(f(x_t))$.
- A new observable $g' := g \circ f(x)$ indirectly monitors the evolution of f.

 $^{^{1}}g$ is typically in the Hilbert space \mathcal{H} .

We define the Koopman operator $\mathcal{K}:\mathcal{H}\rightarrow\mathcal{H}$ by

$$\mathcal{K}(g)(x) = g \circ f(x). \tag{2}$$

- \mathcal{K} maps one function to another function.
- For a fixed g, K(g)(x) measures one-step state evolution if the current state is x.

 \mathcal{K} is an infinite-dimensional linear operator due to composition.

$$\begin{aligned} \mathcal{K}(\alpha_1 g_1 + \alpha_2 g_2)(x) &= (\alpha_1 g_1 + \alpha_2 g_2) \circ f(x) \\ &= \alpha_1 g_1 \circ f(x) + \alpha_2 g_2 \circ f(x) \\ &= \alpha_1 \mathcal{K}(g_1)(x) + \alpha_2 \mathcal{K}(g_2)(x), \ \forall g_1, g_2 \in \mathcal{H}, \alpha_1, \alpha_2 \in \mathbb{R}. \end{aligned}$$

Question: How does \mathcal{K} help? Answer: Finite-dimensional representation of f.

Let $\boldsymbol{g} = [g_1, \dots, g_m]$ be m observables. We have

$$\mathcal{K}(\boldsymbol{g}) = [g_1 \circ f, \ldots, g_m \circ f].$$

If $g_i \circ f \in \text{span}\{g_1, \ldots, g_m\} \ \forall i$, i.e.,

$$\exists \alpha_{i1},\ldots,\alpha_{im} \text{ s.t. } g_i \circ f = \alpha_{i1}g_1 + \cdots + \alpha_{im}g_m$$

Then, we have

$$\boldsymbol{g}(x^+) = \boldsymbol{g}^+(x) := \mathcal{K}(\boldsymbol{g})(x) = \mathcal{K}\boldsymbol{g}(x), \quad \forall x \in \Omega.$$

where $K \in \mathbb{R}^{m \times m}$ and $K_{ij} = \alpha_{ij}$; x^+ is the state after one-step.

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$$\boldsymbol{g}(x^+) = \boldsymbol{g}^+(x) := \mathcal{K}(\boldsymbol{g})(x) = \mathcal{K}\boldsymbol{g}(x), \quad \forall x \in \Omega.$$

We say $\{g, K\}$ a finite-dimensional representation of f.

- K represent a linear relationship in \mathcal{H} .
- *K* connects the elements in the function space instead of finite-dimensional space like ℝⁿ.
- A linear system that directly captures measurement evolution and that indirectly monitors state evolution.

Idea of Koopman Operator

Transform a nonlinear system in finite-dimensional spaces into a linear system in infinite-dimensional spaces.

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Idea of Koopman Operator

Transform a nonlinear system in finite-dimensional spaces into a linear system in infinite-dimensional spaces.



Figure: Illustration of state trajectories x_t and observable trajectories $y_t := \boldsymbol{g}(x_t)$ (Brunton and Kutz, 2019).

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Koopman Invariant Subspace

Definition

A subspace $\mathcal{M} \subset \mathcal{H}$ is a Koopman invariant subspace if $\mathcal{K}(g) \in \mathcal{M}$ $\forall g \in \mathcal{M}.$

If \mathcal{M} is spanned by finite functions $\{g_1, \ldots, g_m\}$, i.e., for any $g \in \mathcal{M}$, there exists $\{\alpha_i\}_{i=1}^m$ and $\{\beta_i\}_{i=1}^m$ such that

 $g = \alpha_1 g_1 + \cdots + \alpha_m g_m$, and $\mathcal{K}(g) = \beta_1 g_1 + \cdots + \beta_m g_m$.

- $\{g_1, \ldots, g_m\}$ are bases of the invariant subspace.
- \mathcal{K} has a finite-dimensional representation $K \in \mathbb{R}^{m \times m}$ on \mathcal{M} .
- $\beta = K\alpha$.

Koopman Eigenfunction

 ${\cal K}$ is a linear operator, we can define eigenvalue and eigenfunctions.

Definition

 λ and $\phi_{\lambda}(x)$ are the eigenvalue and eigenfunction of \mathcal{K} if

$$\mathcal{K}(\phi_{\lambda})(\mathbf{x}) = \lambda \phi_{\lambda}(\mathbf{x})$$

- In general, $\lambda \in \mathbb{C}$.
- Any finite eigenfunctions form an invariant subspace.
- If $\{g_1, \ldots, g_m\}$ spans a Koopman invariant subspace, we can find m eigenfunctions that spans the same subspace.

Example — Observables as Coordinates

Consider the dynamical system with $x \in \mathbb{R}^2$:

$$x_{1,t+1} = ax_{1,t}, \quad x_{2,t+1} = bx_{2,t} + (b - a^2)x_{1,t}^2.$$

We define three observables by $g_1(x) = x_1$, $g_2(x) = x_2$, $g_3(x) = x_1^2$. Then $\mathcal{K}(\mathbf{g})(x_t)$ can be represented by

$$\begin{bmatrix} g_1(x_{t+1}) \\ g_2(x_{t+1}) \\ g_3(x_{t+1}) \end{bmatrix} = \mathcal{K}(\boldsymbol{g})(x_t) = \begin{bmatrix} a & 0 & 0 \\ 0 & b & b-a^2 \\ 0 & 0 & a^2 \end{bmatrix} \begin{bmatrix} g_1(x_t) \\ g_2(x_t) \\ g_3(x_t) \end{bmatrix}$$

Let $y_t = \mathbf{g}(x_t)$, we have $y_{t+1} = Ky_t$.

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Example — Eigenfunctions as Coordinates

Consider the dynamical system

$$x_{1,t+1} = ax_{1,t}, \quad x_{2,t+1} = bx_{2,t} + (b - a^2)x_{1,t}^2.$$

We define three observables by $\phi_1(x) = x_1, \phi_2(x) = x_2 + x_1^2, \phi_3(x) = x_1^2$.

$$\begin{bmatrix} \phi_1(x_{t+1}) \\ \phi_2(x_{t+1}) \\ \phi_3(x_{t+1}) \end{bmatrix} = \mathcal{K}(\phi)(x_t) = \begin{bmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & a^2 \end{bmatrix} \begin{bmatrix} \phi_1(x_t) \\ \phi_2(x_t) \\ \phi_3(x_t) \end{bmatrix}$$

Let $z_t = \phi(x_t)$, we have $z_{t+1} = \Lambda z_t$.

• $\{g_1,g_2,g_3\}$ and $\{\phi_1,\phi_2,\phi_3\}$ span the same invariant subspace.

• K is not diagonal while Λ is diagonal.

Koopman Mode Decomposition

Suppose \boldsymbol{g} and ϕ span the same invariant subspace. K and Λ are their finite-dimensional representations.

Definition

We can decompose any function g_i into the eigenfunction bases.

$$g_i = \langle g_i, \phi_1 \rangle \phi_1 + \cdots + \langle g_1, \phi_m \rangle \phi_m.$$

The coefficients $v_{ij} = \langle g_i, \phi_j \rangle$ are the Koopman modes corresponding to the eigenfunction ϕ_j , j = 1, ..., m.

- Koopman modes measure the impact of g_i in the direction of ϕ_i .
- K and A share the same eigenvalues. $K = V \Lambda V^{-1}$.
- The *i*-th row of V provides the Koopman modes to decompose g_i.

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Short Summary

Koopman operator theory

- perceives the state evolution indirectly through g and K;
 - An indirect approach for system identification.
 - Estimations on \boldsymbol{g} and \mathcal{K} are sufficient; no need for f.
- provides a linear relationship between elements in function spaces.

Challenges to use Koopman operator:

- Find the invariant space and the finite-dimensional representation.
 - Choose the right bases ${m g}$ (or eigenfunctions) of the invariant space.
- Estimate \mathcal{K} from observation data.
- Restore real states from observations if necessary.

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Method Overview

In general, $\boldsymbol{g} = \{g_1, \dots, g_m\}$ may not be an invariant subspace.

We approximate of finite-dimensional representation:

$$\min_{\mathcal{K}} \quad \|\boldsymbol{g} \circ f - \mathcal{K}\boldsymbol{g}\| := \int_{\Omega} |\boldsymbol{g} \circ f(x) - \mathcal{K}g(x)| \, dx.$$

- Function norm.
- Complex or unknown f. Data-driven methods.

Two categories for data-driven methods:

- Choose g and learn K: DMD, Extended DMD, Hankel DMD.
- Learn **g** and K: Deep Koopman.

Dynamic Mode Decomposition

We have N trajectory data

$$X = [x_1, x_2, \dots, x_N], \quad X' = [x'_1, x'_2, \dots, x'_N]$$

with $x'_n = f(x_n)$. We choose the measurement $\boldsymbol{g} : \Omega \to \mathbb{R}^m$:

$$Y = \boldsymbol{g}(X) = [\boldsymbol{g}(x_1), \boldsymbol{g}(x_2), \dots, \boldsymbol{g}(x_N)], \quad Y' = \boldsymbol{g}(X').$$

Least square estimation:

$$\min_{K} \|Y' - KY\|_{2}^{2} = \sum_{i=1}^{N} \|g(x_{i}') - Kg(x_{i})\|_{2}^{2}.$$

• Optimal solution $K^* = Y'Y^{\dagger}$.

Data-Driven Approaches

Dynamic Mode Decomposition (DMD) and its variants:

- DMD (Tu et al., 2014).
 - full observation: $g_i(x) = x_i$ and Y = X.
- Extended DMD (Williams et al., 2015).
 - Choose nonlinear **g**.
- Hankel DMD (Arbabi and Mezic, 2017).
 - Use delay-embedding of measurements on the observables.
- Sparse identification of nonlinear dynamics (Brunton et al., 2016).

Finding Koopman invariant subspace:

- Learning invariant subspace bases (Takeishi et al., 2017).
- Learning eigen-functions (Lusch et al., 2018), K is diagnoal.
- Learning both K and bases g (Yeung et al., 2019).

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Related Literature

Koopman operator

- Begin with the seminal works (Koopman, 1931; Koopman and Neumann, 1932).
- Gains the renaissance from 1990s (Mezic, 1994; Mezić, 2005; Rowley et al., 2009).
- Review on Koopman operator (Brunton et al., 2022; Bevanda et al., 2021; Mezić, 2021).
- Survey on vehicular applications using Koopman operator (Manzoor et al., 2023).

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Is the following correct?

$$\mathcal{K}(\boldsymbol{g})(\boldsymbol{x}) = \sum_{\lambda \in \sigma(\mathcal{K})} \lambda \boldsymbol{v}_\lambda \phi_\lambda(\boldsymbol{x}), \quad \forall \boldsymbol{g} \in \mathcal{H},$$

where $\sigma(\mathcal{K})$ is the set of all eigenvalues and v_{λ} is the Koopman mode.

Is the following correct?

$$\mathcal{K}(\boldsymbol{g})(\boldsymbol{x}) = \sum_{\lambda \in \sigma(\mathcal{K})} \lambda v_\lambda \phi_\lambda(\boldsymbol{x}), \quad \forall \boldsymbol{g} \in \mathcal{H},$$

where $\sigma(\mathcal{K})$ is the set of all eigenvalues and v_{λ} is the Koopman mode.

The answer is NO.

Because the Koopman operator \mathcal{K} has both discrete and continuous spectrum (Mezić, 2005; Colbrook and Townsend, 2024).

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 \mathcal{K} is unitary, its spectrum is inside the unit circle \mathbb{T} in the complex plane. We decompose it into singular (discrete) and regular (continuous) parts²:

$$\mathcal{K} = \mathcal{K}_s + \mathcal{K}_r = \sum_{\lambda \in \sigma_s(\mathcal{K})} \lambda \mathcal{P}_\lambda + \int_{\mathbb{T} \setminus \sigma_s(\mathcal{K})} y d\mathcal{E}(y).$$

- *P_λ* : *H* → *H* is the projection operator to the eigenspace associated with the eigenvalue λ. *P_λ(g)* = (*g,φ_λ*/(*φ_λ,φ_λ*) *φ_λ*, *g* ∈ *H*.
- \mathcal{E} is the continuous spectral measure (eigenmeasure) that is "continuously parameterized" by *y*.
- $\mathcal{E}(U) : \mathcal{H} \to \mathcal{H}$ is the spectral projector to the subspace spanned by the "continuous eigenfunctions" with eigenvalues in U, U is any Borel measurable subset of \mathbb{T} .

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²Lebesgue measure decomposition.

Koopman mode decomposition for an arbitrary $g \in \mathcal{H}$:

$$\mathcal{K}(g)(x) = \sum_{\lambda \in \sigma_s(\mathcal{K})} \lambda v_\lambda \phi_\lambda(x) + \int_{\mathbb{T} \setminus \sigma_s(\mathcal{K})} y \psi_{g,y}(x) dy.$$

- v_{λ} is expansion coefficient $\frac{\langle g, \phi_{\lambda} \rangle}{\langle \phi_{\lambda}, \phi_{\lambda} \rangle}$. Koopman mode.
- $\psi_{g,y}$ is a "continuously parameterized" collection of eigenfunctions. we can understand it as $d\mathcal{E}(y)g$.

• Change of variable $y = e^{i\theta}$ and convert \mathbb{T} to $[-\pi,\pi]_{\mathrm{per}}$. We arrive at

$$g(x_t) = \mathcal{K}^t(g)(x_0) = \sum_{\lambda \in \sigma_s(\mathcal{K})} v_\lambda \lambda^t \phi_\lambda(x_0) + \int_{[-\pi,\pi]_{\mathrm{per}}} e^{it\theta} \psi_{g,\theta}(x_0) d\theta.$$

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Why spectral decomposition is useful?

Check if $g \in \mathcal{H}$ has discrete/continuous part.

$$d
u_{g}(z) = \sum_{\lambda \in \sigma_{s}(\mathcal{K})} raket{\mathcal{P}_{\lambda}(g), g} \delta(z - \lambda) dy + \int_{\mathbb{T} \setminus \sigma_{s}(\mathcal{K})} \psi_{g, y}(z) dy$$

with $g(z) = \int_{\Omega} d\nu_g(z) dz$.

Example Revisited

$$x_{1,t+1} = ax_{1,t}, \quad x_{2,t+1} = bx_{2,t} + (b - a^2)x_{1,t}^2.$$

We choose $g_1(x) = x_1$, $g_2(x) = x_2$, $g_3(x) = x_1^2$. **g** does not have continuous spectrum when projected to the eigenspace of \mathcal{K} .

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Generally, for a $g \in \mathcal{H}$,

- discrete part is dominant;
- continuous part is related to chaotic components of f.

Choosing proper \boldsymbol{g} is important. Generally, see (Colbrook and Townsend, 2024)

- smooth g will have continuous part but easy to compute;
- nonsmooth g have less continuous part but hard to compute.

Finding $(\lambda, \phi_{\lambda})$ is important.

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Spectrum Computation

A procedure related to ResDMD (Colbrook and Townsend, 2024; Colbrook et al., 2023):



Figure: Procedures to recover eigenvalues and eigenfunctions of \mathcal{K} .

(NYU)

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Basic Idea

Extending Koopman Operator for Control

Koopman operator

- Enables data-driven methods for indirect system identification.
- Works for autonomous dynamical systems.

We are interested in

- Data-driven methods for control.
- Applying Koopman operator to control.

Basic Idea

Extending Koopman Operator for Control

Given a dynamical control system

$$x_{t+1} = f(x_t, u_t),$$
 (3)

where $x_t \in \Omega \subset \mathbb{R}^n$, $u_t \in \mathcal{U} \subset \mathbb{R}^m$, $f : \Omega \times \mathcal{U} \to \Omega$.

Basic idea:

- Reflect the evolution of f and the impact of an arbitrary u.
- Extend the state space to $\Omega \times \mathcal{U}$.

We define the Koopman operator $\mathcal{K}: \mathcal{H} \to \mathcal{H}$

$$\mathcal{K}(g)(x_t, u_t) = g(f(x_t, u_t), u_{t+1}) = g(x_{t+1}, u_{t+1}), \tag{4}$$

where $g: \Omega \times \mathcal{U} \to \mathbb{R}$ is a observable in \mathcal{H} .

Control Variants

Different forms of control:

• Closed loop control: $u_t = h(x_t)$.

$$\mathcal{K}(g)(x_t, h(x_t)) = g(x_{t+1}, h(x_{t+1})).$$

Reduce to Koopman operator for the associated autonomous system.

• Open loop control with internal control dynamics: $u_{t+1} = h(u_t)$.

$$\mathcal{K}(g)(x_t, u_t) = g(f(x_t, u_t), h(u_t)).$$

Reduce to Koopman operator for the associated autonomous system where u is also a state.

• Open loop control with exogenous controls: unknown inputs.

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Observable Bases

Next step: find Koopman invariant subspace and linearization.

We select $\boldsymbol{g} = [g_1, \ldots, g_p]$ such that $\mathcal{K}(\boldsymbol{g}) \in \mathsf{span}\{g_1, \ldots, g_p\}$. i.e.,

$$\boldsymbol{g}(x_{t+1}, u_{t+1}) \approx K \boldsymbol{g}(x_t, u_t).$$

• Eigen-functions are also viable choices.

$$\mathcal{K}\phi_i(x,u) = \lambda_i\phi_i(x,u), \quad i = 1, 2, \dots$$

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Observable Bases — Special Structures

People assume special structures on the observable g for control.

• Partition g into two parts:

$$g(x, u) = g_x(x, u) + g_u(x, u).$$

• First part is only related to the state:

$$g_{x}(x,u)=g_{x}(x).$$

• Linear³ of bilinear structure in the second part:

$$g_u(x,u) = a^{\mathsf{T}}u$$
, or $g_u(x,u) = \psi(x)(a^{\mathsf{T}}u)$.

³Linear structure is the most used case.

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Observable Bases — Special Structures

Using linearity and causality (Korda and Mezić, 2018), we can write

$$\boldsymbol{g}(x,u) = \begin{bmatrix} \boldsymbol{g}_x(x) & u \end{bmatrix}^{\mathsf{T}}$$
.

Then we have

$$\boldsymbol{g}(x_{t+1}, u_{t+1}) = \begin{bmatrix} \boldsymbol{g}_{x}(x_{t+1}) \\ u_{t+1} \end{bmatrix} = \begin{bmatrix} K_{xx} & K_{xu} \\ K_{ux} & K_{uu} \end{bmatrix} \begin{bmatrix} \boldsymbol{g}_{x}(x_{t}) \\ u_{t} \end{bmatrix}.$$
 (5)

We get rid of u_{k+1} since we do not predict controls, resulting in

$$\boldsymbol{g}_{\boldsymbol{X}}(\boldsymbol{x}_{t+1}) = \boldsymbol{K}_{\boldsymbol{X}\boldsymbol{X}}\boldsymbol{g}_{\boldsymbol{X}}(\boldsymbol{x}_t) + \boldsymbol{K}_{\boldsymbol{X}\boldsymbol{u}}\boldsymbol{u}_t.$$
(6)

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Basic Idea

Example — System with Control

Consider the dynamical system with control:

$$x_{1,t+1} = \mu x_{1,t}, \quad x_{2,t+1} = \lambda (x_{2,t} - x_{1,t}^2) + \delta u_t.$$

We define $g_1(x, u) = x_1$, $g_2(x, u) = x_2$, $g_3(x, u) = x_1^2$, $g_4(x, u) = u$. Then $\boldsymbol{g}_{x}(x) = [g_{1}(x) g_{2}(x) g_{3}(x)]. \ \mathcal{K}(\boldsymbol{g})(x_{t}, u_{t})$ can be represented by

$$\boldsymbol{g}_{\boldsymbol{x}}(\boldsymbol{x}_{t+1}) = \begin{bmatrix} \mu & 0 & 0 \\ 0 & \lambda & -\lambda \\ 0 & 0 & \mu^2 \end{bmatrix} \boldsymbol{g}_{\boldsymbol{x}}(\boldsymbol{x}_t) + \begin{bmatrix} 0 \\ \delta \\ 0 \end{bmatrix} \boldsymbol{g}_4(\boldsymbol{u}_t).$$

Let $y_t = \mathbf{g}_{x}(x_t)$, we have $y_{t+1} = Ay_t + Bu_t$.

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Koopman Operator

- Introduction to Koopman Operator
- Koopman Invariant Subspace
- Methods of Computing Koopman Operator
- 2 Spectral Properties of Koopman Operator
 - Spectral Decomposition
 - Spectral Computation

Koopman Operator for Control

- Basic Idea
- Transformation of Nonlinear Control
- Date-Driven Approaches

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Transform Nonlinear Optimal Control Problem

Nonlinear optimal control problem (NOCP):

min
$$l_T(x_T) + \sum_{t=0}^{T-1} l_t(x_t) + u_t^T R_t u_t + r_t^T u_t$$

s.t. $x_{t+1} = f(x_t, u_t), \quad t = 0, \dots, T-1,$
 $h_t(x_t) + c^T u_t \le 0, \quad t = 0, \dots, T-1,$
 $h_T(x_T) \le 0.$
(7)

Tricks to select \boldsymbol{g}_{x} :

- Augment state itself: $\boldsymbol{g}_{x} = [x, \tilde{g}]$. ($C = [I \ 0]$, $x = Cg_{x}$).
- Augment nonlinear functions in the NOCP: $\boldsymbol{g}_{x} = [\tilde{g}, l_{0}, \dots, l_{T}, h_{0}, \dots, h_{T}].$

Transform Nonlinear Optimal Control Problem

• Let $z_t = \boldsymbol{g}_{\boldsymbol{X}}(\boldsymbol{X}_t)$.

- Compute finite-dimensional Koopman operator K.
- Find A and B for dynamical systems.
- Convert nonlinear constraints.

Linearized optimal control problem:

min
$$y_T^{\mathsf{T}} Q_T y_T + \sum_{t=0}^{T-1} y_t^{\mathsf{T}} Q_t y_t + u_t^{\mathsf{T}} R_t u_t + r_t^{\mathsf{T}} u_t$$

s.t. $y_{t+1} = A y_t + B u_t, \quad t = 0, \dots, T-1,$
 $E_t z_t + F_t u_t \le 0, \quad t = 0, \dots, T-1,$
 $z_0 = \mathbf{g}_{\mathsf{X}}(x_0).$
(8)

Discussions

Questions:

- Why do we partition **g** into two parts?
- Why do we assume linear or affine structure in *u* rather than in *x*?
- Why do we need linear-quadratic structure in *u* in NOCP (7)?

Discussions:

- Partition provides a notion of "control" in the lifted linear system. More convenient to process.
- x can be unknown but we must know *u*. Otherwise, we cannot control the original system.
- Linear or affine structure allows us access u directly. Otherwise, we need to learn the inverse function g_u^{-1} to perform control.
- Linear-quadratic structure in *u* is required by the linear structure in *g*.

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Date-Driven Approaches

We have N trajectory data:

$$X = [x_1, x_2, \dots, x_N], \ U = [u_1, u_2, \dots, u_N], \ X' = [x'_1, x'_2, \dots, x'_N].$$

with $x'_i = f(x_i, u_i)$. Linear structure in control: $\boldsymbol{g} = [\boldsymbol{g}_x \ l]$.

Least square estimation:

$$\min_{K_x,K_u} \quad \left\| K_x \boldsymbol{g}_x(X) + K_u U - \boldsymbol{g}_x(X') \right\|_2^2.$$

- Extended DMD, the bases g are given (Korda and Mezić, 2018).
- Deep learning on g and K (Shi and Meng, 2022).
 - K step prediction loss.
 - Add regularization if necessary.

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Related Literature

Koopman operator for control

- Starts from Korda and Mezić (2018); Proctor et al. (2018).
- Widely used in many fields, including robotics, aerospace, and traffic. See Manzoor et al. (2023).

Other approaches to system identification for control.

- Dynamic Mode Decomposition with control (DMDc) (Proctor et al., 2016).
- SINDy for model predictive control (Kaiser et al., 2018).
- Neural networks for model predictive control (Chen et al., 2018; Li et al., 2019; Drgona et al., 2020).

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Related Literature

Koopman control in robotics⁴

- Soft robots (Bruder et al., 2019, 2020; Wang et al., 2022; Alora et al., 2023).
- Rehabilitation (Goyal et al., 2022).
- Human-robot interaction (Broad et al., 2020)
- UAV/UGV (Folkestad et al., 2020; Ren et al., 2022).
- Manipulator (Zhang and Wang, 2023).
- General learning for control systems and applications in robotics
 - Deep learning (Shi and Meng, 2022; Yin et al., 2022).
 - Bilinear Koopman operator (Bruder et al., 2021).
 - Stable koopman operator (Mamakoukas et al., 2023).
 - Control affine systems (Guo et al., 2021).
 - Derivative-based Koopman operator and error bound (Mamakoukas et al., 2021).

⁴Soft robots are the most studied application area. General frameworks for Koopman learning are widely discussed.

Recommended Reference

Koopman operator theory

• Brunton et al. (2022); Bevanda et al. (2021); Mezić (2021).

Learning methods on Koopman operator

• Takeishi et al. (2017); Lusch et al. (2018); Yeung et al. (2019).

Spectral Properties of Koopman operator

Mezić (2005); Colbrook and Townsend (2024).

Koopman operator for control

• Korda and Mezić (2018); Proctor et al. (2018); Shi and Meng (2022).

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Summary

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